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Ionic Charge Transfer Complex Induced Visible Light Harvesting and Photocharge Generation in Perovskite

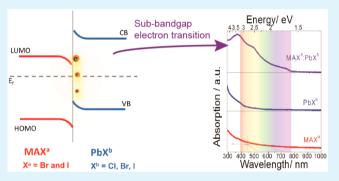
Tsz-Wai Ng,^{†,‡} Hrisheekesh Thachoth Chandran,[†] Chiu-Yee Chan,[†] Ming-Fai Lo,^{*,†,‡} and Chun-Sing Lee^{*,†,‡}

[†]Center of Super-Diamond and Advanced Films (COSDAF), Department of Physics and Materials Science, City University of Hong Kong, Hong Kong SAR, P. R. China

[‡]City University of Hong Kong Shenzhen Research Institute, Shenzhen 518057, Guangdong, People's Republic of China

Supporting Information

ABSTRACT: Organometal trihalide perovskite has recently emerged as a new class of promising material for high efficiency solar cells applications. While excess ions in perovskites are recently getting a great deal of attention, there is so far no clear understanding on both their formation and relating ions interaction to the photocharge generation in perovskite. Herein, we showed that tremendous ions indeed form during the initial stage of perovskite formation when the organic methylammonium halide (MAX^a, X^a = Br and I) meets the inorganic PbX^b₂ (X^b = Cl, Br, I). The strong charge exchanges between the Pb²⁺ cations and X^{a-} anions result in formation of ionic charge transfer complexes (iCTC). MAX^a



parties induce empty valence electronic states within the forbidden bandgap of PbX_2^b . The strong surface dipole provide sufficient driving force for sub-bandgap electron transition with energy identical to the optical bandgap of forming perovskites. Evidences from XPS/UPS and photoluminescence studies showed that the light absorption, exciton dissociation, and photocharge generation of the perovskites are closely related to the strong ionic charge transfer interactions between Pb^{2+} and X^{a-} ions in the perovskite lattices. Our results shed light on mechanisms of light harvesting and subsequent free carrier generation in perovskites.

KEYWORDS: energy level offsets, interface energetics, charge transfer complex, photoemission spectroscopy, perovskite solar cells

1. INTRODUCTION

Organolead trihalide perovskite is currently one of the most puzzling but interesting materials.^{1–7} While perovskite devices have high power conversion efficiencies up to 20.1%,⁸ it often exhibits obvious current density–voltage (J-V) hysteresis, which is mainly attributed to interstitial ions in perovskites.^{8–12}

With these characteristics, perovskite with switchable photovoltaic and memristive effects are observed.^{13,14} All these reports point out the obvious role of ionic properties in perovskite.¹⁴ However, there is so far few studies probing the chemical nature of the ions and how these ions pay their role in the photocharge generation.^{3,15}

Organometal trihalide perovskites have properties that differ considerably from their constituting components.^{16,17}For example, MAI and PbCl₂ are themselves wide energy gap semiconductors and therefore are electrically and optically inert. Yet, perovskites show excellent conductivities for both electrons and holes,^{1,18–20} as well as broad absorption over the visible spectrum.^{19,21–25} Figure S1 (Supporting Information) compares absorption spectra of thin films of MAI, PbCl₂, and their mixture (i.e., MAI:PbCl₂ with 1:1 weight ratio without heat treatment). While both MAI and PbCl₂ themselves show

near-UV absorption, their mixture shows significant redshift in absorption, extending to ~800 nm.^{16,17,26,27} It is obvious that the visible absorption in the MAI:PbCl₂ mixture is not simply the sum (by ratio) of that of the MAI and PbCl₂ constituents.

While increasing attention has been put on the ionic natures of the perovskite film,^{26,28,29} fundamental understanding on these ions including their formation, electronic behavior, and their influences to photocharge generation are very limited.^{6,16,30,31} The large difference in the absorption spectra of perovskite and its constituents (i.e., MAI and PbCl₂) implies a strong charge interaction upon contact between MAI and PbCl₂.^{17,32–34} However, there are so far few works focusing on the electronic charge interaction between MAI and PbCl₂.^{3,15} In this work, we explore the ion formation process in the initial perovskite formation upon contact of MAX^a (X^a = Br, I) and PbX^b₂ (X^b = Cl, Br, I) by X-ray and UV photoemission spectroscopies (XPS and UPS). Obvious charge transfers between Pb and X^a are observed in all cases. It is also observed

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that the MAX^a would induce empty valence electronic states within the energy gap of PbX_{2}^{b} , which facilitates sub-bandgap electron transitions with energies identical to the optical bandgaps of the corresponding perovskites. This result gives a hint on the origin of the band gap and free carrier generation in perovskite.

2. RESULTS AND DISCUSSION

Charge Transfer Properties of the MAI/PbCl₂ Film. We first examine charge interaction between MAI and PbCl₂. The top spectrum in Figure 1a shows the photoluminescence (PL)

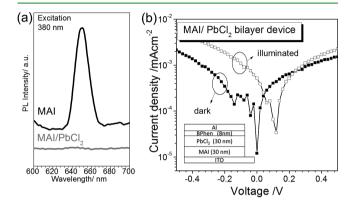
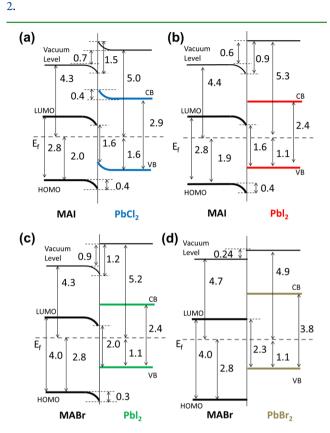


Figure 1. (a) Photoluminescence (PL) response of the MAI film with and without the addlayer $PbCl_2$ quencher film. The testing film is prepared on the Si wafer and excited using 380 nm light. (b) J-V characteristics of the MAI/PbCl₂ bilayer device. The solid and open symbols indicate the J-V measured in the dark and under 1 Sun illumination, respectively.

from a pristine MAI film with emission at ~650 nm. When 10 nm PbCl₂ was then deposited on top of the MAI film, PL emission of MAI is immediately quenched (bottom spectrum). This result suggests a strong charge exchange crossing the MAI/PbCl₂ interface where the photoexcited charges in MAI would be readily dissociated and transferred to its neighboring PbCl₂ molecules.

We then probe whether the observed charge transfer properties at MAI/PbCl₂ can give photocharge generation. A simple bilayer MAI/PbCl₂ device is fabricated with a configuration as follows: ITO/MAI (30 nm)/PbCl₂ (30 nm)/BPhen (8 nm)/Al (8 nm). It is noted that the prepared MAI/PbCl₂ sample has a neat bilayer structure with no perovskite formation (see Figure S2 (Supporting Information). Figure 1b shows J-V characteristics of the device in the dark and under 1 Sun AM1.5G illumination. The device does show observable photocurrent and photovoltage confirming photocharge generation subsequent to charge dissociation shown in Figure 1a. It is interesting to note that the PL quenching and photovoltaic properties of MAI/PbCl₂ are similar to those observed in charge interactive donor–acceptor pairs used in common organic photovoltaics (OPV) devices.^{35–38}

Valence Energy Band Structure of Organic–Inorganic Interface. To get a better understanding of the ions in the perovskites, we explore their formation processes by studying charge interaction upon contact between MAX^a and PbX^b₂. 10.0 nm thick MAX^a films were first deposited onto ITO substrates. PbX^b₂ are then incrementally deposited onto the MAX^a samples. UPS of the MAX^a and MAX^a/PbX^b₂ samples were measured. UPS measurements from junctions of MAI/ PbCl₂, MAI/PbI₂, MABr/PbI₂, and MABr/PbI₂ are shown in **Research Article**



Figures S3-S6 (Supporting Information). The UPS results are

summarized as three energy level diagrams as shown in Figure

Figure 2. Experimentally determined energy level diagrams of (a) MAI/PbCl₂, (b) MAI/PbI₂, (c) MABr/PbI₂, and (d) MABr/PbBr₂ junctions. Bandgaps of MAI, MABr, PbCl₂, PbI₂, and PbBr₂ are determined from the cutoff edges of their optical absorption spectra. All numbers are marked in units of eV.

Abrupt offsets in vacuum levels are observed at the MAI/ PbCl₂, the MAI/PbI₂, the MABr/PbI₂, and the MABr/PbBr₂ contacts with an interfacial dipole of 1.5, 0.9, 1.2, and 0.24 eV, respectively. With such strong dipoles, the MAX^a/PbX^b₂ junction forms a charge-interactive type II heterojunction with strong built-in fields (i.e., similar to the traditional OPV device). Charges exchange are readily taken place between PbX^a₂ and MAX^b. For the junction of MAI/PbCl₂, MAI/PbI₂, and MABr/PbI2, those separated electrons and holes spatially accumulated, resulting in observable energy level bending in the vicinity of the MAX^a and PbX^b₂, respectively. The extent of the charge transfer interaction shows a decreasing order as follows: $MAI/PbCl_2 > MAI/PbI_2 > MABr/PbI_2 > MABr/PbBr_2$. One major difference between MAI/PbCl₂ and the MAI/PbI₂ junctions is that Cl is unstable in the perovskites lattice.^{15,39,40} Most of the Cl has a high tendency to escape from the system and leave the Pb atoms behind. These Pb atoms in the MAI-PbCl₂ system are more ready to donate their valence electrons to neighboring MAI, and this leads to a stronger charge transfer compared to MAI/PbI₂ junctions. Therefore, with the strong charge interaction, MAI/PbCl₂ shows a charge transfer thickness up to 5-10 nm. For less interactive MABr/PbI₂, MAI/PbI₂, and MABr/PbBr₂ junctions, the charge transfer depths are smaller, which are ~ 2 nm, ~ 1 nm, and ~ 0 nm, respectively.

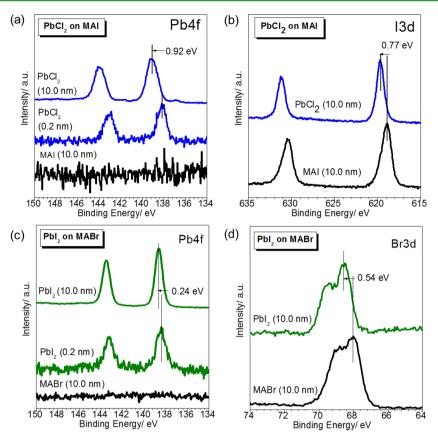


Figure 3. High-resolution XPS core level spectra of (a) Pb 4f and (b) I 3d when different thicknesses of $PbCl_2$ are deposited on 10.0 nm of MAI. XPS core level spectra of (c) Pb 4f and (d) Br 3d when different thicknesses of PbI_2 are deposited on 10.0 nm of MABr.

Interestingly, the VB_{PbX2} —LUMO_{MAX} energy offsets at the MAI/PbCl₂, the MAI/PbI₂, the MABr/PbI₂, and the MABr/ PbBr₂ junction are determined to be 1.6, 1.6, 2.0, and 2.3 eV, respectively, which match well with the optical bandgap of the MAPbI_{3-x}Cl_x (1.6 eV),^{30,40,41} MAI/PbI₂ (1.6 eV),^{30,40} MAPbI_{3-x}Br_x (1.96 and 2.01 eV for respectively MAPb(I_{0.41}Br_{0.59})₃ and MAPb $(I_{0.28}Br_{0.72})_3)$,⁴² and MAPbBr₃ (2.3 eV)³⁰ perovskites film. The UPS results provide new insight on how the absorption spectrum of perovskite can be extended to the visible region upon mixing of its two wide-bandgap components. The charge interaction between the MAX^a and PbX^b₂ induces a stepping state for sub-bandgap electron transition and photocharge generation. The LUMO of MAX^a behaves as a midgap state within the wide bandgap of PbX^b₂ that provides an empty state for sub-bandgap electron transition. Such electron transition and charge separation provide an interpretation to instant exciton dissociation and photocharge generation within the perovskite lattices.

Apart from excitonic absorption across the bandgap, the electrons can be excited and promoted to the LUMO of MAX^a via visible photon absorption. Such charge interaction is consistent with the PL quenching observed in the MAI/PbCl₂ bilayer (Figure 1a), suggesting an intimate charge exchange at the MAI/PbCl₂ contact. These observations are consistent with the reported two distinct absorption peaks at ~480 and ~760 nm in common perovskite film.^{36,43}

lonic Charge Transfer Nature of the Organic– **Inorganic Contact.** Following the discussion on charge transfer interaction at the MAX^a/ PbX^b₂ contact, we examined also the elemental core level XPS peak changes near the MAI/ PbCl₂ interface. It is interesting to observe that not all elements

are contributing to the interfacial charge transfer interaction. Only the Pb^{2+} (Figure 3a) and the I⁻ (Figure 3b) ions participate in the charge transfer interaction during the MAI/ PbCl₂ interface formation.¹⁵ Similar XPS peak shifts are observed in Pb²⁺ (Figure 3c) and Br⁻ (Figure 3d) for the MABr/PbI2 junction. Meanwhile, the N 1s and C 1s spectra (from CH₃NH₃⁺, MA⁺) shown in Figure S8 (Supporting Information) for both the MAI/PbCl₂ and the MABr/PbI₂ junctions show negligible peak shift. The above ionic charge transfer interaction can also be found in heat-treated perovskite film. Similar XPS studies on heat-treated perovskite film are also studied with the data shown in Figure S9 in the Supporting Information. These XPS results suggest that the MA⁺ ion has a small active role in the reaction between MAX^a and PbX^b₂. This observation corroborates with the fact that the energy levels of the MA⁺ cation are located far away from the valence band maximum VBM (5 eV below) and conduction band minimum CBM (2.5 eV above) of the perovskite film.^{44,45}

Together with these XPS results, we confirm that the valence charge exchange observed in UPS (Figure 2) is mainly attributed to ionic interaction between X^{a} and Pb^{2+} ions in MAX^a/PbX^b₂. The initial contact of X^{a-} and Pb^{2+} ions has strong charge interactions, which finally result in formation of the ionic charge transfer complex (iCTC) with special long wavelength absorption characteristics. Depending on the extent of charge transfer, these iCTC are free to rotate and migrate toward two electrodes when they are subjected to E-field from either external applied bias or illumination, leading to bistable conductance (hysteresis effect).

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3. CONCLUSION

In this work, we show for the first time the photocharge generation process in perovskite film is closely related to the ionic charge exchange process at the contacts of MAX^a and PbX_2^{b} . Direct evidence from both XPS and UPS showed that the ionic charge exchange process that has taken place between the Pb^{2+} cation and the X^{a-} anion is strong enough to induce an ionic charge transfer complex formation. In addition, such interaction leads to a sub-bandgap electron transition via the LUMO level of MAX^a which is located within the wide energy gap of PbX_2^{b} . The energy band offsets are found to match well with the optical bandgaps of the corresponding perovskites. The presented results shed light on light harvesting and free carrier generation of the perovskite-based cells.

4. EXPERIMENTAL SECTION

Device Fabrication. Solar devices were prepared on patterned and routinely cleaned indium-doped tin oxide (ITO) coated glasses. The ITO substrate is UV-ozone treated for 15 min prior to loading into an evaporation system with a base pressure of $\sim 10^{-7}$ Torr. All evaporating sources including MAI, C_{60} , BPhen (from Luminescence Technology Corp.), and PbCl₂ (form Aldrich) are used as received. A simple device with a configuration of ITO/MAI (30 nm)/PbCl₂ (30 nm)/BPhen (8 nm)/Al is prepared by thermal evaporation. No intentional annealing was included during and after device fabrication. The freshly prepared device is then transferred to a nitrogen-filled glovebox, where it is encapsulated with a glass cap and UV curing epoxy resin. J-V characteristics of the device are characterized using an Oriel 150 W solar simulator with AM1.5G (AM: air mass, G: global) filters at 100 mW/cm². More details can be found in our previous report.⁴⁶

All photoemission studies are carried out in a VG ESCALAB 220i-XL surface analysis system equipped with a He-discharge lamp (hv =21.22 eV) and a monochromatic Al–K α X-ray gun (hv = 1486.6 eV) for UPS and XPS investigation, respectively. The base vacuum of the system is 10^{-9} Torr. ITO-coated glass substrates were thoroughly cleaned before loading into the ultrahigh vacuum (UHV). 10.0 nm of MAX^a ($X^a = I$ and Br) is first deposited onto the ITO substrate. The PbX_{2}^{b} (X^b = Cl, I, and Br) layer of 0.2-10.0 nm thick is then deposited onto the MAX^a samples. Between each deposition, the samples are transferred to the analysis chamber without vacuum break for UPS and XPS characterizations. UPS He I measurements are performed to study the valence states of the prepared films. VL offsets are obtained from the shifts of the intensity thresholds at the lowest inelastic electrons kinetic energy cutoff, with a bias of -5.0 V with respect to ground. Energy gaps of the MAX^a and PbX^b₂ are estimated by considering cutoff edges of their absorption spectra as shown in the Supporting Information.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsami.5b05847.

Figures S1–S9 (PDF)

AUTHOR INFORMATION

Corresponding Authors

*E-mail: mingflo@cityu.edu.hk (M.-F.L.). *E-mail: apcslee@cityu.edu.hk (C.-S.L.).

Notes

The authors declare no competing financial interest.

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